



12-27-05

ELM-002 Cont. 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Glenn J. Leedy  
Application : 10/672,961 Confirmation No. : 9439  
No.  
Filed : September 26, 2003  
For : THREE DIMENSIONAL MULTI LAYER MEMORY AND  
CONTROL LOGIC INTEGRATED CIRCUIT  
STRUCTURE (AS AMENDED)  
Examiner : Monica Lewis  
Art Unit : 2822  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATION

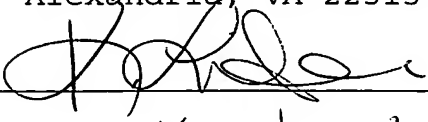
"Express Mail" Mailing Label No. EV669633661US  
Date of Deposit: December 23, 2005

I hereby certify that this certification and the following papers and fees:

1. Supplemental Information Disclosure Statement (in duplicate);
2. Form PTO/SB/08 (in duplicate);
3. Copy of Third-Party Search Results;
4. Copies of Thirty-four (34) cited references;
5. Transmittal (in duplicate); and
6. Reply to Office Action

are being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

  
Name: Kristina Levites



ELM-2 Cont. 4

Express Mail Label No.: EV669633661US

Applicant : Glenn J. Leedy  
Application No. : 10/672,961 Confirmation No.: 9439  
Filed : September 26, 2003  
For : THREE DIMENSIONAL MULTI LAYER MEMORY AND  
CONTROL LOGIC INTEGRATED CIRCUIT  
STRUCTURE (AS AMENDED)  
Art Unit : 2822  
Examiner : Monica Lewis

New York, New York 10020  
December 23, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith to be filed in the above-  
identified patent application:

☒ a Reply to Office Action.  
☒ a Supplemental Information Disclosure  
Statement

FEE FOR ADDITIONAL CLAIMS

☐ A fee for additional claims is not required.  
☒ A fee for additional claims is required.

The additional fee has been calculated as shown below:

| ADDITIONAL  | CLAIMS<br>REMAINING |   | HIGHEST<br>NUMBER      |   | PRESENT |   | RATE    |          | FEES |
|---|---------------------|---|------------------------|---|---------|---|---------|----------|------|
|   | AFTER<br>AMENDMENT  |   | PREVIOUSLY<br>PAID FOR |   | EXTRA   |   |         |          |      |
| <hr/>   |                     |   |                        |   |         |   |         |          |      |
| TOTAL CLAIMS  | 41                  | - | 29*                    | = | 12      | X | \$ 25 = | \$300.00 |      |
| <hr/>   |                     |   |                        |   |         |   |         |          |      |
| INDEPENDENT<br>CLAIMS                               | 3                   | - | 3**                    | = | 0       | X | \$100 = | \$ 0.00  |      |
| <hr/>   |                     |   |                        |   |         |   |         |          |      |
| FIRST PRESENTATION OF A<br>MULTIPLE DEPENDENT CLAIM |                     |   |                        |   |         | + | \$180 = | \$ 0.00  |      |

\* If less than 20, insert 20. TOTAL \$ 300.00  
 \*\* If less than 3, insert 3.

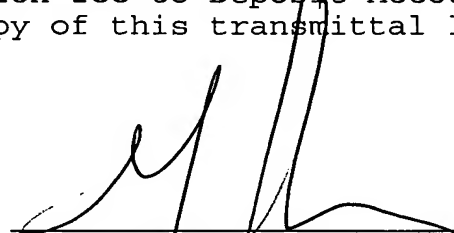
- [ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.
- [X] Please charge \$300.00 to Deposit Account No. 06-1075, Order No. 001202-0002. in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075, Order No. 001202-0002. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

#### EXTENSION FEE

- [ ] The following extension is applicable to the Response filed herewith; [ ] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [ ] \$215.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [ ] \$490.00

extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$765.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); ☐ \$1040.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

- ☐ A check in the amount of \$\_\_\_\_\_ in payment of the three month extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 001202-0002. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



---

Jeffrey D. Mullen  
Registration No. 52,056  
Agent for Applicant  
FISH & NEAVE IP GROUP  
ROPES & GRAY LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000  
Fax: (212) 596-9090